CryptoCurrency Security Standard

Peer Review Report Template

CCSS Version 9.0 – May 2025

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# Document Template History and Version History

|  |  |  |  |
| --- | --- | --- | --- |
| **Date** | **Template Version** | **Standard Version** | **Description** |
| May 2025 | V1.1 | CCSS v9.0 | Updated to CCSS v9.0. |
| October 2024 | v1.0 | CCSS v8.1 | Initial template developed by Provenance ([www.provenancecompliance.com](http://www.provenancecompliance.com)) for reporting Peer Review of CCSS audit results based on CCSS v8.1. |

## CCSS Peer Review Process

All CCSS Peer Reviews will test the evidence-gathering techniques as detailed in the redacted RoC. Audits are designed to be performed at least annually and cover the preceding 12-month period, similarly for each audit a Peer Review must be conducted. All audits performed by CCSSAs are reviewed by a CCSSA-Peer Reviewer before C4 certifies an entity.

## CCSS Disputes

Any dispute arising out of the peer review process shall be arbitrated by the CCSS Steering Committee.

# Contact Information

## CCSSA Peer Reviewer (CCSSA-PR)

|  |  |
| --- | --- |
| **CCSSA-PR Name** |  |
| **CCSSA-PR Organization** |  |
| **CCSSA-PR Organization Website** |  |
| **CCSSA-PR Certificate Number** |  |
| **CCSSA-PR Contact Phone** |  |
| **CCSSA-PR Contact Email** |  |

## CCSS Auditor (CCSSA)

|  |  |
| --- | --- |
| **CCSSA Name** |  |
| **CCSSA Organization** |  |
| **CCSSA Organization Website** |  |
| **CCSSA Certificate Number** |  |
| **CCSSA Contact Phone** |  |
| **CCSSA Contact Email** |  |

## Entity with System(s) Under Audit

|  |  |
| --- | --- |
| **Entity Name** |  |
| **Entity Website URL** |  |
| **Entity Mailing Address** |  |
| **Entity Contact Name** |  |
| **Entity Contact Phone** |  |
| **Entity Contact Email** |  |

# Summary of Peer Review

|  |  |
| --- | --- |
| **Start of Peer Review** |  |
| **End of Peer Review** |  |
| **Demonstrated Level of Evidence Gathering Techniques** |  |
| **CCSSA-PR Opinion over Evidence Gathering Techniques** |  |

# CCSS Trusted Environment Summary

|  |  |
| --- | --- |
| **Overview of CCSS Trusted Environment** |  |
| **Validation of Trusted Environment** |  |

# Evidence Retention Summary

|  |  |
| --- | --- |
| **Evidence Retained by the CCSS Auditor** |  |
| **Evidence Retention Requirements** |  |

# Peer Review of Evidence Gathering Techniques

Note: As part of the Peer Review process, the full aspect requirements have not been detailed as this is contained in the RoC

## Cryptographic Asset Management

### Aspect 1.01: Key Material Generation

#### Aspect Control 1.01.1: Actor-generated Key Material

|  |  |  |  |
| --- | --- | --- | --- |
| ***LEVEL I REQUIREMENTS*** | | | |
| Requirement 1.01.1.1 | Evidence Gathered | Assessment | |
| **Interviews** |  |  | |
| **Observations** |  |  | |
| **CCSS Steering Committee Decisions** |  |  | |
| **Inspections** |  |  | |
| **Documents** |  |  | |
| Requirement 1.01.1.2 | Evidence Gathered | Assessment | |
| **Interviews** |  |  | |
| **Observations** |  |  | |
| **CCSS Steering Committee Decisions** |  |  | |
| **Inspections** |  |  | |
| **Documents** |  |  | |
| ***LEVEL II REQUIREMENTS*** | | | |
| Requirement 1.01.1.3 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***LEVEL III REQUIREMENTS*** | | | |
| No Level III Requirements | | | |
| ***CCSSA-PR Conclusion*** | | | |
|  | | | |

#### Aspect Control 1.01.2: Validation of Generation Methodology

|  |  |  |
| --- | --- | --- |
| ***LEVEL I REQUIREMENTS*** | | |
| No Level I Requirements | | |
| ***LEVEL II REQUIREMENTS*** | | |
| Requirement 1.01.2.1 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| Requirement 1.01.2.2 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***LEVEL III REQUIREMENTS*** | | |
| No Level III Requirements | | |
| ***CCSSA-PR Conclusion*** | | |
|  | | |

#### Aspect Control 1.01.3: Deterministic Random Bit Generator (DRBG) Compliance

|  |  |  |  |
| --- | --- | --- | --- |
| ***LEVEL I REQUIREMENTS*** | | | |
| No Level I Requirements | | | |
| ***LEVEL II REQUIREMENTS*** | | | |
| No Level II Requirements | | | |
| ***LEVEL III REQUIREMENTS*** | | | |
| Requirement 1.01.3.1 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| Requirement 1.01.3.2 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***CCSSA-PR Conclusion*** | | | |
|  | | | |

#### Aspect Control 1.01.4: Entropy Pool

|  |  |  |  |
| --- | --- | --- | --- |
| ***LEVEL I REQUIREMENTS*** | | | |
| Requirement 1.01.4.1 | Evidence Gathered | Assessment | |
| **Interviews** |  |  | |
| **Observations** |  |  | |
| **CCSS Steering Committee Decisions** |  |  | |
| **Inspections** |  |  | |
| **Documents** |  |  | |
| ***LEVEL II REQUIREMENTS*** | | | |
| No Level II Requirements | | |
| ***LEVEL III REQUIREMENTS*** | | | |
| No Level III Requirements | | | |
| ***CCSSA-PR Conclusion*** | | | |
|  | | | |

### Aspect 1.02: Wallet Generation

#### Aspect Control 1.02.1: Signing Configuration

|  |  |  |
| --- | --- | --- |
| ***LEVEL I REQUIREMENTS*** | | |
| No Level I Requirements | | |
| ***LEVEL II REQUIREMENTS*** | | |
| Requirement 1.02.1.1 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***LEVEL III REQUIREMENTS*** | | |
| No Level III Requirements | | |
| ***CCSSA-PR Conclusion*** | | |
|  | | |

#### Aspect Control 1.02.2: Key Material Redundancy

|  |  |  |  |
| --- | --- | --- | --- |
| ***LEVEL I REQUIREMENTS*** | | | |
| No Level I Requirements | | | |
| ***LEVEL II REQUIREMENTS*** | | | |
| Requirement 1.02.2.1 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***LEVEL III REQUIREMENTS*** | | | |
| No Level III Requirements | | | |
| ***CCSSA-PR Conclusion*** | | | |
|  | | | |

#### Aspect Control 1.02.3: Geographic Key Material Distribution

|  |  |  |  |
| --- | --- | --- | --- |
| ***LEVEL I REQUIREMENTS*** | | | |
| No Level I Requirements | | | |
| ***LEVEL II REQUIREMENTS*** | | | |
| Requirement 1.02.3.1 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***LEVEL III REQUIREMENTS*** | | | |
| No Level III Requirements | | | |
| ***CCSSA-PR Conclusion*** | | | |
|  | | | |

#### Aspect Control 1.02.4: Entity Key Material Distribution

|  |  |  |  |
| --- | --- | --- | --- |
| ***LEVEL I REQUIREMENTS*** | | | |
| No Level I Requirements | | | |
| ***LEVEL II REQUIREMENTS*** | | | |
| No Level II Requirements | | | |
| ***LEVEL III REQUIREMENTS*** | | | |
| Requirement 1.02.4.1 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***CCSSA-PR Conclusion*** | | | |
|  | | | |

#### Aspect Control 1.02.5: Wallet Generation Policy Documentation

|  |  |  |  |
| --- | --- | --- | --- |
| ***LEVEL I REQUIREMENTS*** | | | |
| No Level I Requirements | | | |
| ***LEVEL II REQUIREMENTS*** | | | |
| Requirement 1.02.5.1 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***LEVEL III REQUIREMENTS*** | | | |
| No Level III Requirements | | | |
| ***CCSSA-PR Conclusion*** | | | |
|  | | | |

### Aspect 1.03: Key Material Storage

#### Aspect Control 1.03.1: Encryption of Operational Key Material

|  |  |  |  |
| --- | --- | --- | --- |
| ***LEVEL I REQUIREMENTS*** | | | |
| Requirement 1.03.1.1 | Evidence Gathered | Assessment | |
| **Interviews** |  |  | |
| **Observations** |  |  | |
| **CCSS Steering Committee Decisions** |  |  | |
| **Inspections** |  |  | |
| **Documents** |  |  | |
| ***LEVEL II REQUIREMENTS*** | | | |
| No Level II Requirements | | |
| ***LEVEL III REQUIREMENTS*** | | | |
| No Level III Requirements | | | |
| ***CCSSA-PR Conclusion*** | | | |
|  | | | |

#### Aspect Control 1.03.2: Key Material Backup(s)

|  |  |  |  |
| --- | --- | --- | --- |
| ***LEVEL I REQUIREMENTS*** | | | |
| Requirement 1.03.2.1 | Evidence Gathered | Assessment | |
| **Interviews** |  |  | |
| **Observations** |  |  | |
| **CCSS Steering Committee Decisions** |  |  | |
| **Inspections** |  |  | |
| **Documents** |  |  | |
| ***LEVEL II REQUIREMENTS*** | | | |
| Requirement 1.03.2.2 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***LEVEL III REQUIREMENTS*** | | | |
| No Level III Requirements | | | |
| ***CCSSA-PR Conclusion*** | | | |
|  | | | |

#### Aspect Control 1.03.3: Environmental Protection for Key Material Backup(s)

|  |  |  |  |
| --- | --- | --- | --- |
| ***LEVEL I REQUIREMENTS*** | | | |
| Requirement 1.03.3.1 | Evidence Gathered | Assessment | |
| **Interviews** |  |  | |
| **Observations** |  |  | |
| **CCSS Steering Committee Decisions** |  |  | |
| **Inspections** |  |  | |
| **Documents** |  |  | |
| ***LEVEL II REQUIREMENTS*** | | | |
| Requirement 1.03.3.2 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***LEVEL III REQUIREMENTS*** | | | |
| No Level III Requirements | | | |
| ***CCSSA-PR Conclusion*** | | | |
|  | | | |

#### Aspect Control 1.03.4: Key Material Backup(s) Have Access Control

|  |  |  |  |
| --- | --- | --- | --- |
| ***LEVEL I REQUIREMENTS*** | | | |
| Requirement 1.03.4.1 | Evidence Gathered | Assessment | |
| **Interviews** |  |  | |
| **Observations** |  |  | |
| **CCSS Steering Committee Decisions** |  |  | |
| **Inspections** |  |  | |
| **Documents** |  |  | |
| ***LEVEL II REQUIREMENTS*** | | | |
| No Level II Requirements | | |
| ***LEVEL III REQUIREMENTS*** | | | |
| No Level III Requirements | | | |
| ***CCSSA-PR Conclusion*** | | | |
|  | | | |

#### Aspect Control 1.03.5: Tamper-evident Key Material Backup(s)

|  |  |  |  |
| --- | --- | --- | --- |
| ***LEVEL I REQUIREMENTS*** | | | |
| No Level I Requirements | | | |
| ***LEVEL II REQUIREMENTS*** | | | |
| Requirement 1.03.5.1 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***LEVEL III REQUIREMENTS*** | | | |
| No Level III Requirements | | | |
| ***CCSSA-PR Conclusion*** | | | |
|  | | | |

#### Aspect Control 1.03.6: Key Material Backup(s) Encryption

|  |  |  |  |
| --- | --- | --- | --- |
| ***LEVEL I REQUIREMENTS*** | | | |
| No Level I Requirements | | | |
| ***LEVEL II REQUIREMENTS*** | | | |
| No Level II Requirements | | | |
| ***LEVEL III REQUIREMENTS*** | | | |
| Requirement 1.03.6.1 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***CCSSA-PR Conclusion*** | | | |
|  | | | |

### Aspect 1.04: Key Material Access

#### Aspect Control 1.04.1: Grant/Revoke Documentation

|  |  |  |
| --- | --- | --- |
| ***LEVEL I REQUIREMENTS*** | | |
| Requirement 1.04.1.1 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***LEVEL II REQUIREMENTS*** | | |
| No Level II Requirements | | |
| ***LEVEL III REQUIREMENTS*** | | |
| No Level III Requirements | | |
| ***CCSSA-PR Conclusion*** | | |
|  | | |

#### Aspect Control 1.04.2: Approved Communication Channel

|  |  |  |
| --- | --- | --- |
| ***LEVEL I REQUIREMENTS*** | | |
| No Level I Requirements | | |
| ***LEVEL II REQUIREMENTS*** | | |
| Requirement 1.04.2.1 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***LEVEL III REQUIREMENTS*** | | |
| No Level III Requirements | | |
| ***CCSSA-PR Conclusion*** | | |
|  | | |

#### Aspect Control 1.04.3: Grant/Revoke Audit Trail

|  |  |  |
| --- | --- | --- |
| ***LEVEL I REQUIREMENTS*** | | |
| No Level I Requirements | | |
| ***LEVEL II REQUIREMENTS*** | | |
| No Level II Requirements | | |
| ***LEVEL III REQUIREMENTS*** | | |
| Requirement 1.04.3.1 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***CCSSA-PR Conclusion*** | | |
|  | | |

### Aspect 1.05: Key Material Usage

#### Aspect Control 1.05.1: Access Authentication to Key Material

|  |  |  |
| --- | --- | --- |
| ***LEVEL I REQUIREMENTS*** | | |
| Requirement 1.05.1.1 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***LEVEL II REQUIREMENTS*** | | |
| No Level II Requirements | | |
| ***LEVEL III REQUIREMENTS*** | | |
| Requirement 1.05.1.2 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***CCSSA-PR Conclusion*** | | |
|  | | |

#### Aspect Control 1.05.2: Operational Key Material Environment

|  |  |  |
| --- | --- | --- |
| ***LEVEL I REQUIREMENTS*** | | |
| Requirement 1.05.2.1 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| Requirement 1.05.2.2 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***LEVEL II REQUIREMENTS*** | | |
| No Level II Requirements | | |
| ***LEVEL III REQUIREMENTS*** | | |
| No Level III Requirements | | |
| ***CCSSA-PR Conclusion*** | | |
|  | | |

#### Aspect Control 1.05.3: Operator Reference Checks

|  |  |  |
| --- | --- | --- |
| ***LEVEL I REQUIREMENTS*** | | |
| Requirement 1.05.3.1 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***LEVEL II REQUIREMENTS*** | | |
| No Level II Requirements | | |
| ***LEVEL III REQUIREMENTS*** | | |
| No Level III Requirements | | |
| ***CCSSA-PR Conclusion*** | | |
|  | | |

#### Aspect Control 1.05.4: Operator ID Checks

|  |  |  |
| --- | --- | --- |
| ***LEVEL I REQUIREMENTS*** | | |
| Requirement 1.05.4.1 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***LEVEL II REQUIREMENTS*** | | |
| No Level II Requirements | | |
| ***LEVEL III REQUIREMENTS*** | | |
| No Level III Requirements | | |
| ***CCSSA-PR Conclusion*** | | |
|  | | |

#### Aspect Control 1.05.5: Operator Background Checks

|  |  |  |
| --- | --- | --- |
| ***LEVEL I REQUIREMENTS*** | | |
| Requirement 1.05.5.1 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***LEVEL II REQUIREMENTS*** | | |
| No Level II Requirements | | |
| ***LEVEL III REQUIREMENTS*** | | |
| No Level III Requirements | | |
| ***CCSSA-PR Conclusion*** | | |
|  | | |

#### Aspect Control 1.05.6: Key Management Training

|  |  |  |
| --- | --- | --- |
| ***LEVEL I REQUIREMENTS*** | | |
| Requirement 1.05.6.1 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***LEVEL II REQUIREMENTS*** | | |
| No Level II Requirements | | |
| ***LEVEL III REQUIREMENTS*** | | |
| No Level III Requirements | | |
| ***CCSSA-PR Conclusion*** | | |
|  | | |

#### Aspect Control 1.05.7: Key Management Responsibilities

|  |  |  |
| --- | --- | --- |
| ***LEVEL I REQUIREMENTS*** | | |
| Requirement 1.05.7.1 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***LEVEL II REQUIREMENTS*** | | |
| No Level II Requirements | | |
| ***LEVEL III REQUIREMENTS*** | | |
| No Level III Requirements | | |
| ***CCSSA-PR Conclusion*** | | |
|  | | |

#### Aspect Control 1.05.8: Spend Verification

|  |  |  |  |
| --- | --- | --- | --- |
| ***LEVEL I REQUIREMENTS*** | | | |
| No Level I Requirements | | | |
| ***LEVEL II REQUIREMENTS*** | | | |
| Requirement 1.05.8.1 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***LEVEL III REQUIREMENTS*** | | | |
| No Level III Requirements | | | |
| ***CCSSA-PR Conclusion*** | | | |
|  | | | |

#### Aspect Control 1.05.9: Multi-Signer Mechanism Usage

|  |  |  |  |
| --- | --- | --- | --- |
| ***LEVEL I REQUIREMENTS*** | | | |
| Requirement 1.05.9.1 | Evidence Gathered | Assessment | |
| **Interviews** |  |  | |
| **Observations** |  |  | |
| **CCSS Steering Committee Decisions** |  |  | |
| **Inspections** |  |  | |
| **Documents** |  |  | |
| ***LEVEL II REQUIREMENTS*** | | | |
| No Level II Requirements | | |
| ***LEVEL III REQUIREMENTS*** | | | |
| No Level III Requirements | | | |
| ***CCSSA-PR Conclusion*** | | | |
|  | | | |

#### Aspect Control 1.05.10: DRBG Compliance

|  |  |  |  |
| --- | --- | --- | --- |
| ***LEVEL I REQUIREMENTS*** | | | |
| Requirement 1.05.10.1 | Evidence Gathered | Assessment | |
| **Interviews** |  |  | |
| **Observations** |  |  | |
| **CCSS Steering Committee Decisions** |  |  | |
| **Inspections** |  |  | |
| **Documents** |  |  | |
| ***LEVEL II REQUIREMENTS*** | | | |
| No Level II Requirements | | |
| ***LEVEL III REQUIREMENTS*** | | | |
| No Level III Requirements | | | |
| ***CCSSA-PR Conclusion*** | | | |
|  | | | |

### Aspect 1.06: Data Sanitization Documentation

#### Aspect Control 1.06.1: Data Sanitization Policy Existence

|  |  |  |
| --- | --- | --- |
| ***LEVEL I REQUIREMENTS*** | | |
| Requirement 1.06.1.1 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***LEVEL II REQUIREMENTS*** | | |
| No Level II Requirements | | |
| ***LEVEL III REQUIREMENTS*** | | |
| No Level III Requirements | | |
| ***CCSSA-PR Conclusion*** | | |
|  | | |

#### Aspect Control 1.06.2: Media Sanitization Audit Documentation

|  |  |  |
| --- | --- | --- |
| ***LEVEL I REQUIREMENTS*** | | |
| No Level I Requirements | | |
| ***LEVEL II REQUIREMENTS*** | | |
| No Level II Requirements | | |
| ***LEVEL III REQUIREMENTS*** | | |
| Requirement 1.06.2.1 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***CCSSA-PR Conclusion*** | | |
|  | | |

## Operations

### Aspect 2.01: Security Tests / Audits

#### Aspect Control 2.01.1: Security Development and Documentation

|  |  |  |
| --- | --- | --- |
| ***LEVEL I REQUIREMENTS*** | | |
| Requirement 2.01.1.1 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***LEVEL II REQUIREMENTS*** | | |
| Requirement 2.01.1.2 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***LEVEL III REQUIREMENTS*** | | |
| Requirement 2.01.1.3 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***CCSSA-PR Conclusion*** | | |
|  | | |

#### Aspect Control 2.01.2: Smart Contract Software Code Audit Documentation

|  |  |  |
| --- | --- | --- |
| ***LEVEL I REQUIREMENTS*** | | |
| Requirement 2.01.2.1 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| Requirement 2.01.2.2 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| Requirement 2.01.2.3 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***Level II Requirements*** | | |
| No Level II Requirements | | |
| ***Level III Requirements*** | | |
| No Level III Requirements | | |
| ***CCSSA-PR Conclusion*** | | |
|  | | |

### Aspect 2.02: Log and Monitor

#### Aspect Control 2.02.1: Application Audit Logs

|  |  |  |
| --- | --- | --- |
| ***LEVEL I REQUIREMENTS*** | | |
| Requirement 2.02.1.1 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***LEVEL II REQUIREMENTS*** | | |
| Requirement 2.02.1.2 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***LEVEL III REQUIREMENTS*** | | |
| No Level III Requirements | | |
| ***CCSSA-PR Conclusion*** | | |
|  | | |

#### Aspect Control 2.02.2: Audit Log Backup

|  |  |  |
| --- | --- | --- |
| ***LEVEL I REQUIREMENTS*** | | |
| No Level I Requirements | | |
| ***LEVEL II REQUIREMENTS*** | | |
| Requirement 2.02.2.1 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***LEVEL III REQUIREMENTS*** | | |
| Requirement 2.02.2.2 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***CCSSA-PR Conclusion*** | | |
|  | | |

#### Aspect Control 2.02.3: Audit Log Monitoring

|  |  |  |
| --- | --- | --- |
| ***LEVEL I REQUIREMENTS*** | | |
| Requirement 2.02.3.1 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***LEVEL II REQUIREMENTS*** | | |
| Requirement 2.02.3.2 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***LEVEL III REQUIREMENTS*** | | |
| No Level III Requirements | | |
| ***CCSSA-PR Conclusion*** | | |
|  | | |

#### Aspect Control 2.02.4: Blockchain State Monitoring

|  |  |  |
| --- | --- | --- |
| ***LEVEL I REQUIREMENTS*** | | |
| No Level I Requirements | | |
| ***LEVEL II REQUIREMENTS*** | | |
| No Level II Requirements | | |
| ***LEVEL III REQUIREMENTS*** | | |
| Requirement 2.02.4.1 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***CCSSA-PR Conclusion*** | | |
|  | | |

### Aspect 2.03: Governance and Risk

#### Aspect Control 2.03.1: Governance

|  |  |  |
| --- | --- | --- |
| ***LEVEL I REQUIREMENTS*** | | |
| Requirement 2.03.1.1 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***LEVEL II REQUIREMENTS*** | | |
| No Level II Requirements | | |
| ***LEVEL III REQUIREMENTS*** | | |
| No Level III Requirements | | |
| ***CCSSA-PR Conclusion*** | | |
|  | | |

#### Aspect Control 2.03.2: Risk Management

|  |  |  |
| --- | --- | --- |
| ***LEVEL I REQUIREMENTS*** | | |
| Requirement 2.03.2.1 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***LEVEL II REQUIREMENTS*** | | |
| Requirement 2.03.2.2 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***LEVEL III REQUIREMENTS*** | | |
| No Level III Requirements | | |
| ***CCSSA-PR Conclusion*** | | |
|  | | |

#### Aspect Control 2.03.3: Service Provider Management

|  |  |  |
| --- | --- | --- |
| ***LEVEL I REQUIREMENTS*** | | |
| Requirement 2.03.3.1 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***LEVEL II REQUIREMENTS*** | | |
| No Level II Requirements | | |
| ***LEVEL III REQUIREMENTS*** | | |
| No Level III Requirements | | |
| ***CCSSA-PR Conclusion*** | | |
|  | | |

### Aspect 2.04: Key Compromise Documentation

#### Aspect Control 2.04.1: Key Compromise Policy Existence

|  |  |  |  |
| --- | --- | --- | --- |
| ***LEVEL I REQUIREMENTS*** | | | |
| Requirement 2.04.1.1 | Evidence Gathered | Assessment | |
| **Interviews** |  |  | |
| **Observations** |  |  | |
| **CCSS Steering Committee Decisions** |  |  | |
| **Inspections** |  |  | |
| **Documents** |  |  | |
| Requirement 2.04.1.2 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***LEVEL II REQUIREMENTS*** | | | |
| Requirement 2.04.1.3 | Evidence Gathered | Assessment | |
| **Interviews** |  |  | |
| **Observations** |  |  | |
| **CCSS Steering Committee Decisions** |  |  | |
| **Inspections** |  |  | |
| **Documents** |  |  | |
| ***LEVEL III REQUIREMENTS*** | | | |
| No Level III Requirements | | | |
| ***CCSSA-PR Conclusion*** | | | |
|  | | | |

#### Aspect Control 2.04.2: Key Compromise Policy Training and Rehearsals

|  |  |  |  |
| --- | --- | --- | --- |
| ***LEVEL I REQUIREMENTS*** | | | |
| No Level I Requirements | | | |
| ***LEVEL II REQUIREMENTS*** | | | |
| No Level II Requirements | | |
| ***LEVEL III REQUIREMENTS*** | | | |
| Requirement 2.04.2.1 | Evidence Gathered | Assessment | |
| **Interviews** |  |  | |
| **Observations** |  |  | |
| **CCSS Steering Committee Decisions** |  |  | |
| **Inspections** |  |  | |
| **Documents** |  |  | |
| ***CCSSA-PR Conclusion*** | | | |
|  | | | |

DISCLAIMER

The CCSSA- PR who performed this report has based their findings on the specific Redacted RoC provided to them by the CCSSA. This CCSSA-PR report does not verify that CCSS requirements will be met by the entity’s audited system at any time other than during the Audit Testing Period and the 12 months preceding the audit period.

Any significant changes to the security practices and procedures that occur by the entity’s audited system could invalidate the audit and this PR report and must be disclosed to the CCSSA-PR in a timely manner.

NOTE

C4 would like to thank Provenance for developing this template for use with the CCSS. Special thanks to CCSSAs Ruan Botha, Ferdus Beytell, and Charné van Heerden for compiling this PR template.