CryptoCurrency Security Standard

Peer Review Report Template

CCSS Version 8.1 – September 2024

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# Document Template History and Version History

| **Date** | **Template Version** | **Standard Version** | **Description** |
| --- | --- | --- | --- |
| October 2024 | v1.0 | CCSS v8.1 | Initial template developed by Provenance ([www.provenancecompliance.com](http://www.provenancecompliance.com)) for reporting Peer Review of CCSS audit results based on CCSS v8.1. |

## CCSS Peer Review Process

All CCSS Peer Reviews will test the evidence-gathering techniques as detailed in the redacted RoC. Audits are designed to be performed at least annually and cover the preceding 12-month period, similarly for each audit a Peer Review must be conducted. All audits performed by CCSSAs are reviewed by a CCSSA-Peer Reviewer before C4 certifies an entity.

## CCSS Disputes

Any dispute arising out of the peer review process shall be arbitrated by the CCSS Steering Committee.

# Contact Information

## CCSSA Peer Reviewer (CCSSA-PR)

| **CCSSA-PR Name** |  |
| --- | --- |
| **CCSSA-PR Organization** |  |
| **CCSSA-PR Organization Website** |  |
| **CCSSA-PR Certificate Number** |  |
| **CCSSA-PR Contact Phone** |  |
| **CCSSA-PR Contact Email** |  |

## CCSS Auditor (CCSSA)

| **CCSSA Name** |  |
| --- | --- |
| **CCSSA Organization** |  |
| **CCSSA Organization Website** |  |
| **CCSSA Certificate Number** |  |
| **CCSSA Contact Phone** |  |
| **CCSSA Contact Email** |  |

## Entity with System(s) Under Audit

| **Entity Name** |  |
| --- | --- |
| **Entity Website URL** |  |
| **Entity Mailing Address** |  |
| **Entity Contact Name** |  |
| **Entity Contact Phone** |  |
| **Entity Contact Email** |  |

# Summary of Peer Review

| **Start of Peer Review** |  |
| --- | --- |
| **End of Peer Review** |  |
| **Demonstrated Level of Evidence Gathering Techniques** |  |
| **CCSSA-PR Opinion over Evidence Gathering Techniques** |  |

# CCSS Trusted Environment Summary

| **Overview of CCSS Trusted Environment** |  |
| --- | --- |
| **Validation of Trusted Environment** |  |

# Evidence Retention Summary

| **Evidence Retained by the CCSS Auditor** |  |
| --- | --- |
| **Evidence Retention Requirements** |  |

# Peer Review of Evidence Gathering Techniques

Note: As part of the Peer Review process, the full aspect requirements have not been detailed as this is contained in the RoC

## Cryptographic Asset Management

### Aspect 1.01: Key/Seed Generation

#### Aspect Control 1.01.1: Operator – Created Key / Seed

| ***LEVEL I REQUIREMENTS*** | | | |
| --- | --- | --- | --- |
| Requirement 1.01.1.1 | Evidence Gathered | Assessment | |
| **Interviews** |  |  | |
| **Observations** |  |  | |
| **CCSS Steering Committee Decisions** |  |  | |
| **Inspections** |  |  | |
| **Documents** |  |  | |
| Requirement 1.01.1.2 | Evidence Gathered | Assessment | |
| **Interviews** |  |  | |
| **Observations** |  |  | |
| **CCSS Steering Committee Decisions** |  |  | |
| **Inspections** |  |  | |
| **Documents** |  |  | |
| ***LEVEL II REQUIREMENTS*** | | | |
| Requirement 1.01.1.3 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***LEVEL III REQUIREMENTS*** | | | |
| No Level III Requirements | | | |
| ***CCSSA-PR Conclusion*** | | | |
|  | | | |

#### Aspect Control 1.01.2: Creation methodology is validated

| ***LEVEL I REQUIREMENTS*** | | |
| --- | --- | --- |
| No Level I Requirements | | |
| ***LEVEL II REQUIREMENTS*** | | |
| Requirement 1.01.2.1 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| Requirement 1.01.2.2 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***LEVEL III REQUIREMENTS*** | | |
| No Level III Requirements | | |
| ***CCSSA-PR Conclusion*** | | |
|  | | |

#### Aspect Control 1.01.3: DRBG Compliance

| ***LEVEL I REQUIREMENTS*** | | | |
| --- | --- | --- | --- |
| No Level I Requirements | | | |
| ***LEVEL II REQUIREMENTS*** | | | |
| No Level II Requirements | | | |
| ***LEVEL III REQUIREMENTS*** | | | |
| Requirement 1.01.3.1 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| Requirement 1.01.3.2 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***CCSSA-PR Conclusion*** | | | |
|  | | | |

#### Aspect Control 1.01.4: Entropy Pool

| ***LEVEL I REQUIREMENTS*** | | | |
| --- | --- | --- | --- |
| Requirement 1.01.4.1 | Evidence Gathered | Assessment | |
| **Interviews** |  |  | |
| **Observations** |  |  | |
| **CCSS Steering Committee Decisions** |  |  | |
| **Inspections** |  |  | |
| **Documents** |  |  | |
| ***LEVEL II REQUIREMENTS*** | | | |
| No Level II Requirements | | |
| ***LEVEL III REQUIREMENTS*** | | | |
| No Level III Requirements | | | |
| ***CCSSA-PR Conclusion*** | | | |
|  | | | |

### Aspect 1.02: Wallet Creation

#### Aspect Control 1.02.1: Multiple keys for signing

| ***LEVEL I REQUIREMENTS*** | | |
| --- | --- | --- |
| No Level I Requirements | | |
| ***LEVEL II REQUIREMENTS*** | | |
| Requirement 1.02.1.1 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***LEVEL III REQUIREMENTS*** | | |
| No Level III Requirements | | |
| ***CCSSA-PR Conclusion*** | | |
|  | | |

#### Aspect Control 1.02.2: Redundant key for recovery

| ***LEVEL I REQUIREMENTS*** | | | |
| --- | --- | --- | --- |
| No Level I Requirements | | | |
| ***LEVEL II REQUIREMENTS*** | | | |
| Requirement 1.02.2.1 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***LEVEL III REQUIREMENTS*** | | | |
| No Level III Requirements | | | |
| ***CCSSA-PR Conclusion*** | | | |
|  | | | |

#### Aspect Control 1.02.3: Geographic distribution of keys

| ***LEVEL I REQUIREMENTS*** | | | |
| --- | --- | --- | --- |
| No Level I Requirements | | | |
| ***LEVEL II REQUIREMENTS*** | | | |
| Requirement 1.02.3.1 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***LEVEL III REQUIREMENTS*** | | | |
| No Level III Requirements | | | |
| ***CCSSA-PR Conclusion*** | | | |
|  | | | |

#### Aspect Control 1.02.4: Organizational distribution of keys

| ***LEVEL I REQUIREMENTS*** | | | |
| --- | --- | --- | --- |
| No Level I Requirements | | | |
| ***LEVEL II REQUIREMENTS*** | | | |
| No Level II Requirements | | | |
| ***LEVEL III REQUIREMENTS*** | | | |
| Requirement 1.02.4.1 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***CCSSA-PR Conclusion*** | | | |
|  | | | |

#### Aspect Control 1.02.5: Documented wallet creation policy

| ***LEVEL I REQUIREMENTS*** | | | |
| --- | --- | --- | --- |
| No Level I Requirements | | | |
| ***LEVEL II REQUIREMENTS*** | | | |
| Requirement 1.02.5.1 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***LEVEL III REQUIREMENTS*** | | | |
| No Level III Requirements | | | |
| ***CCSSA-PR Conclusion*** | | | |
|  | | | |

### Aspect 1.03: Key Storage

#### Aspect Control 1.03.1: Primary keys are stored encrypted

| ***LEVEL I REQUIREMENTS*** | | | |
| --- | --- | --- | --- |
| Requirement 1.03.1.1 | Evidence Gathered | Assessment | |
| **Interviews** |  |  | |
| **Observations** |  |  | |
| **CCSS Steering Committee Decisions** |  |  | |
| **Inspections** |  |  | |
| **Documents** |  |  | |
| ***LEVEL II REQUIREMENTS*** | | | |
| No Level II Requirements | | |
| ***LEVEL III REQUIREMENTS*** | | | |
| No Level III Requirements | | | |
| ***CCSSA-PR Conclusion*** | | | |
|  | | | |

#### Aspect Control 1.03.2: Backup key exists

| ***LEVEL I REQUIREMENTS*** | | | |
| --- | --- | --- | --- |
| Requirement 1.03.2.1 | Evidence Gathered | Assessment | |
| **Interviews** |  |  | |
| **Observations** |  |  | |
| **CCSS Steering Committee Decisions** |  |  | |
| **Inspections** |  |  | |
| **Documents** |  |  | |
| ***LEVEL II REQUIREMENTS*** | | | |
| Requirement 1.03.2.2 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***LEVEL III REQUIREMENTS*** | | | |
| No Level III Requirements | | | |
| ***CCSSA-PR Conclusion*** | | | |
|  | | | |

#### Aspect Control 1.03.3: Backup key has environmental protection

| ***LEVEL I REQUIREMENTS*** | | | |
| --- | --- | --- | --- |
| Requirement 1.03.3.1 | Evidence Gathered | Assessment | |
| **Interviews** |  |  | |
| **Observations** |  |  | |
| **CCSS Steering Committee Decisions** |  |  | |
| **Inspections** |  |  | |
| **Documents** |  |  | |
| ***LEVEL II REQUIREMENTS*** | | | |
| Requirement 1.03.3.2 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***LEVEL III REQUIREMENTS*** | | | |
| Requirement 1.03.3.3 | Evidence Gathered | Assessment | |
| **Interviews** |  |  | |
| **Observations** |  |  | |
| **CCSS Steering Committee Decisions** |  |  | |
| **Inspections** |  |  | |
| **Documents** |  |  | |
| ***CCSSA-PR Conclusion*** | | | |
|  | | | |

#### Aspect Control 1.03.4: Backup key is access controlled

| ***LEVEL I REQUIREMENTS*** | | | |
| --- | --- | --- | --- |
| Requirement 1.03.4.1 | Evidence Gathered | Assessment | |
| **Interviews** |  |  | |
| **Observations** |  |  | |
| **CCSS Steering Committee Decisions** |  |  | |
| **Inspections** |  |  | |
| **Documents** |  |  | |
| ***LEVEL II REQUIREMENTS*** | | | |
| No Level II Requirements | | |
| ***LEVEL III REQUIREMENTS*** | | | |
| No Level III Requirements | | | |
| ***CCSSA-PR Conclusion*** | | | |
|  | | | |

#### Aspect Control 1.03.5: Backup key has tamper evident seal

| ***LEVEL I REQUIREMENTS*** | | | |
| --- | --- | --- | --- |
| No Level I Requirements | | | |
| ***LEVEL II REQUIREMENTS*** | | | |
| Requirement 1.03.5.1 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***LEVEL III REQUIREMENTS*** | | | |
| No Level III Requirements | | | |
| ***CCSSA-PR Conclusion*** | | | |
|  | | | |

#### Aspect Control 1.03.6: Backup key is encrypted

| ***LEVEL I REQUIREMENTS*** | | | |
| --- | --- | --- | --- |
| No Level I Requirements | | | |
| ***LEVEL II REQUIREMENTS*** | | | |
| No Level II Requirements | | | |
| ***LEVEL III REQUIREMENTS*** | | | |
| Requirement 1.03.6.1 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***CCSSA-PR Conclusion*** | | | |
|  | | | |

### Aspect 1.04: Key Usage

#### Aspect Control 1.04.1: Key access requires user/pass/nth factor

| ***LEVEL I REQUIREMENTS*** | | |
| --- | --- | --- |
| Requirement 1.04.1.1 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***LEVEL II REQUIREMENTS*** | | |
| No Level II Requirements | | |
| ***LEVEL III REQUIREMENTS*** | | |
| Requirement 1.04.1.2 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***CCSSA-PR Conclusion*** | | |
|  | | |

#### Aspect Control 1.04.2: Keys are only used in a trusted environment

| ***LEVEL I REQUIREMENTS*** | | |
| --- | --- | --- |
| Requirement 1.04.2.1 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***LEVEL II REQUIREMENTS*** | | |
| No Level II Requirements | | |
| ***LEVEL III REQUIREMENTS*** | | |
| No Level III Requirements | | |
| ***CCSSA-PR Conclusion*** | | |
|  | | |

#### Aspect Control 1.04.3: Operator reference checks

| ***LEVEL I REQUIREMENTS*** | | |
| --- | --- | --- |
| Requirement 1.04.3.1 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***LEVEL II REQUIREMENTS*** | | |
| No Level II Requirements | | |
| ***LEVEL III REQUIREMENTS*** | | |
| No Level III Requirements | | |
| ***CCSSA-PR Conclusion*** | | |
|  | | |

#### Aspect Control 1.04.4: Operator ID checks

| ***LEVEL I REQUIREMENTS*** | | |
| --- | --- | --- |
| Requirement 1.04.4.1 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***LEVEL II REQUIREMENTS*** | | |
| No Level II Requirements | | |
| ***LEVEL III REQUIREMENTS*** | | |
| No Level III Requirements | | |
| ***CCSSA-PR Conclusion*** | | |
|  | | |

#### Aspect Control 1.04.5: Operator background checks

| ***LEVEL I REQUIREMENTS*** | | |
| --- | --- | --- |
| No Level I Requirements | | |
| ***LEVEL II REQUIREMENTS*** | | |
| No Level II Requirements | | |
| ***LEVEL III REQUIREMENTS*** | | |
| Requirement 1.04.5.1 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***CCSSA-PR Conclusion*** | | |
|  | | |

#### Aspect Control 1.04.6: Spends are verified before signing

| ***LEVEL I REQUIREMENTS*** | | | |
| --- | --- | --- | --- |
| No Level I Requirements | | | |
| ***LEVEL II REQUIREMENTS*** | | | |
| Requirement 1.04.6.1 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***LEVEL III REQUIREMENTS*** | | | |
| No Level III Requirements | | | |
| ***CCSSA-PR Conclusion*** | | | |
|  | | | |

#### Aspect Control 1.04.7: No two keys are used on one device

| ***LEVEL I REQUIREMENTS*** | | | |
| --- | --- | --- | --- |
| Requirement 1.04.7.1 | Evidence Gathered | Assessment | |
| **Interviews** |  |  | |
| **Observations** |  |  | |
| **CCSS Steering Committee Decisions** |  |  | |
| **Inspections** |  |  | |
| **Documents** |  |  | |
| ***LEVEL II REQUIREMENTS*** | | | |
| No Level II Requirements | | |
| ***LEVEL III REQUIREMENTS*** | | | |
| No Level III Requirements | | | |
| ***CCSSA-PR Conclusion*** | | | |
|  | | | |

#### Aspect Control 1.04.8: DRBG Compliance

| ***LEVEL I REQUIREMENTS*** | | | |
| --- | --- | --- | --- |
| Requirement 1.04.8.1 | Evidence Gathered | Assessment | |
| **Interviews** |  |  | |
| **Observations** |  |  | |
| **CCSS Steering Committee Decisions** |  |  | |
| **Inspections** |  |  | |
| **Documents** |  |  | |
| ***LEVEL II REQUIREMENTS*** | | | |
| No Level II Requirements | | |
| ***LEVEL III REQUIREMENTS*** | | | |
| No Level III Requirements | | | |
| ***CCSSA-PR Conclusion*** | | | |
|  | | | |

### Aspect 1.05: Key Compromise Policy

#### Aspect Control 1.05.1: KCP Exists

| ***LEVEL I REQUIREMENTS*** | | | |
| --- | --- | --- | --- |
| Requirement 1.05.1.1 | Evidence Gathered | Assessment | |
| **Interviews** |  |  | |
| **Observations** |  |  | |
| **CCSS Steering Committee Decisions** |  |  | |
| **Inspections** |  |  | |
| **Documents** |  |  | |
| Requirement 1.05.1.2 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***LEVEL II REQUIREMENTS*** | | | |
| Requirement 1.05.1.3 | Evidence Gathered | Assessment | |
| **Interviews** |  |  | |
| **Observations** |  |  | |
| **CCSS Steering Committee Decisions** |  |  | |
| **Inspections** |  |  | |
| **Documents** |  |  | |
| ***LEVEL III REQUIREMENTS*** | | | |
| No Level III Requirements | | | |
| ***CCSSA-PR Conclusion*** | | | |
|  | | | |

#### Aspect Control 1.05.2: KCP Training and Rehearsals

| ***LEVEL I REQUIREMENTS*** | | | |
| --- | --- | --- | --- |
| No Level I Requirements | | | |
| ***LEVEL II REQUIREMENTS*** | | | |
| No Level II Requirements | | |
| ***LEVEL III REQUIREMENTS*** | | | |
| Requirement 1.05.2.1 | Evidence Gathered | Assessment | |
| **Interviews** |  |  | |
| **Observations** |  |  | |
| **CCSS Steering Committee Decisions** |  |  | |
| **Inspections** |  |  | |
| **Documents** |  |  | |
| ***CCSSA-PR Conclusion*** | | | |
|  | | | |

### Aspect 1.06: Keyholder Grant/Revoke Policies and Procedures

#### Aspect Control 1.06.1: Grant/Revoke Procedures/Checklist

| ***LEVEL I REQUIREMENTS*** | | |
| --- | --- | --- |
| Requirement 1.06.1.1 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***LEVEL II REQUIREMENTS*** | | |
| Requirement 1.06.1.2 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***LEVEL III REQUIREMENTS*** | | |
| No Level III Requirements | | |
| ***CCSSA-PR Conclusion*** | | |
|  | | |

#### Aspect Control 1.06.2: Requests made via Approved Communication Channel

| ***LEVEL I REQUIREMENTS*** | | | |
| --- | --- | --- | --- |
| No Level I Requirements | | | |
| ***LEVEL II REQUIREMENTS*** | | | |
| Requirement 1.06.2.1 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***LEVEL III REQUIREMENTS*** | | | |
| No Level III Requirements | | | |
| ***CCSSA-PR Conclusion*** | | | |
|  | | | |

#### Aspect Control 1.06.3: Grant/Revoke Audit Trail

| ***LEVEL I REQUIREMENTS*** | | |
| --- | --- | --- |
| No Level I Requirements | | |
| ***LEVEL II REQUIREMENTS*** | | |
| No Level II Requirements | | |
| ***LEVEL III REQUIREMENTS*** | | |
| Requirement 1.06.3.1 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***CCSSA-PR Conclusion*** | | |
|  | | |

## Operations

### Aspect 2.01: Security Tests / Audits

#### Aspect Control 2.01.1: Security Audit

| ***LEVEL I REQUIREMENTS*** | | |
| --- | --- | --- |
| Requirement 2.01.1.1 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***LEVEL II REQUIREMENTS*** | | |
| Requirement 2.01.1.2 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***LEVEL III REQUIREMENTS*** | | |
| Requirement 2.01.1.3 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***CCSSA-PR Conclusion*** | | |
|  | | |

### Aspect 2.02: Data Sanitization Policy

#### Aspect Control 2.02.1: DSP Exists

| ***LEVEL I REQUIREMENTS*** | | |
| --- | --- | --- |
| Requirement 2.02.1.1 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***LEVEL II REQUIREMENTS*** | | |
| Requirement 2.02.1.2 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***LEVEL III REQUIREMENTS*** | | |
| No Level III Requirements | | |
| ***CCSSA-PR Conclusion*** | | |
|  | | |

#### Aspect Control 2.02.2: Audit Trail of all media sanitization

| ***LEVEL I REQUIREMENTS*** | | |
| --- | --- | --- |
| No Level I Requirements | | |
| ***LEVEL II REQUIREMENTS*** | | |
| No Level II Requirements | | |
| ***LEVEL III REQUIREMENTS*** | | |
| Requirement 2.02.2.1 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***CCSSA-PR Conclusion*** | | |
|  | | |

### Aspect 2.03: Audit Logs

#### Aspect Control 2.03.1: Application Audit Logs

| ***LEVEL I REQUIREMENTS*** | | |
| --- | --- | --- |
| Requirement 2.03.1.1 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***LEVEL II REQUIREMENTS*** | | |
| Requirement 2.03.1.2 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***LEVEL III REQUIREMENTS*** | | |
| No Level III Requirements | | |
| ***CCSSA-PR Conclusion*** | | |
|  | | |

#### Aspect Control 2.03.2: Backup of Audit Logs

| ***LEVEL I REQUIREMENTS*** | | |
| --- | --- | --- |
| No Level I Requirements | | |
| ***LEVEL II REQUIREMENTS*** | | |
| Requirement 2.03.2.1 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***LEVEL III REQUIREMENTS*** | | |
| Requirement 2.03.2.2 | Evidence Gathered | Assessment |
| **Interviews** |  |  |
| **Observations** |  |  |
| **CCSS Steering Committee Decisions** |  |  |
| **Inspections** |  |  |
| **Documents** |  |  |
| ***CCSSA-PR Conclusion*** | | |
|  | | |

DISCLAIMER

The CCSSA- PR who performed this report has based their findings on the specific Redacted RoC provided to them by the CCSSA. This CCSSA-PR report does not verify that CCSS requirements will be met by the entity’s audited system at any time other than during the Audit Testing Period and the 12 months preceding the audit period.

Any significant changes to the security practices and procedures that occur by the entity’s audited system could invalidate the audit and this PR report and must be disclosed to the CCSSA-PR in a timely manner.

NOTE

C4 would like to thank Provenance for developing this template for use with the CCSS. Special thanks to CCSSAs Ruan Botha, Ferdus Beytell, and Charné van Heerden for compiling this PR template.